



GAC/2165 #2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Harris (et al.)
Serial No.: 09/760,271
Filed: 1/12/2001

Attorney Docket: 0013-011P1
Examiner: Unknown
Group Art Unit: 2165

Title: System and Method for Pre-Verifying Commercial Transactions

Assistant Commissioner for Patents
Washington, DC 20231

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Enclosed for filing in the above-referenced application are the following:

1. Information Disclosure Statement;
2. Form PTO-1449;
3. 12 cited references; and
4. Return receipt postcard.

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Date: 12/27/01

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CERTIFICATE OF MAILING (37 CFR 1.8(A))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Date: 12/27/01

Larry E. Henneman, Jr.
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): **Harris (et al.)**
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INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §1.56, §1.97, and §1.98, Applicant(s) bring(s) the following documents to the Examiner's attention in the above-referenced application:

1. U.S. Patent No. 5,485,510, issued 01/16/1996, to Colbert;
2. U.S. Patent No. 5,671,279, issued 09/23/1997, to Elgamal;
3. U.S. Patent No. 5,715,399, issued 02/03/1998, to Bezos;
4. U.S. Patent No. 5,826,245, issued 10/20/1998, to Sandberg-Diment;
5. U.S. Patent No. 5,883,810, issued 03/16/1999, to Franklin, et al.;
6. U.S. Patent No. 5,903,721, issued 05/11/1999, to Sixtus;
7. U.S. Patent No. 5,991,738, issued 11/23/1999, to Ogram;
8. U.S. Patent No. 6,000,832, issued 12/14/1999, to Franklin, et al.;
9. U.S. Patent No. 6,012,144, issued 01/04/2000, to Pickett;
10. U.S. Patent No. 6,064,990, issued 05/16/2000, to Goldsmith;
11. U.S. Patent No. 6,088,683, issued 07/11/2000, to Jalili; and
12. U.S. Patent No. 6,282,522, issued 08/28/2001, to Davis et al..

A PTO form 1449 listing these documents is enclosed.

The relevance of the attached references is that this is the closest art of which Applicant is aware.

Citation of the above documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

To Applicant's knowledge, a first office action on the merits has not yet been mailed in this application. Therefore, no fee is due. 35 CFR §1.97(b)(3).

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